

AVENTUS GROUP

# INVESTOR RELATIONS POLICY AVENTUS GROUP



This document is issued by: 25 September 2018  
Aventus Holdings Limited (ACN 627 640 180) and  
Aventus Capital Limited (ACN 606 555 480) as responsible entity of the  
Aventus Retail Property Fund



## 1 INTRODUCTION

- 1.1 This policy was prepared with regards to Principle 5 (Make timely and balanced disclosure) and Principle 6 (Respect the rights of shareholders) of ASX Corporate Governance Council's *Corporate Governance Principles and Recommendations* and the Corporate Governance Statement under the 3rd edition of the ASX Corporate Governance Guidelines.
- 1.2 Under the *ASX Listing Rules*, a listed entity must continuously disclose price-sensitive information to the market. Price-sensitive information is information that a reasonable person would expect to have a material effect on the price or value of an entity's securities.
- 1.3 The disclosure obligation is given legislative force under the *Corporations Act 2001 (Cth)*.
- 1.4 This policy applies to Aventus Holdings Limited and all related bodies corporate within the meaning of the *Corporations Act 2001 (Cth)* (**Group**) and should be read in conjunction with AVN's Continuous Disclosure Policy.

## 2 OBJECTIVE

The purpose of the Policy is to:

- Communicate effectively with all AVN securityholders; and
- Give all AVN securityholders easy and timely access to balanced and understandable information about AVN.

## 3 APPLICATION OF THE COMMUNICATIONS POLICY

The Investor Relations Policy is subject to annual Board review and approval.

## 4 METHODS OF COMMUNICATION

Information will be communicated to AVN securityholders through the following ways:

### 4.1 ASX ANNOUNCEMENTS

All material information is released to the ASX before being distributed to the wider market. All announcements and other information released to the ASX will be placed on the Aventus website ([www.ventusgroup.com.au](http://www.ventusgroup.com.au)) as soon as practicable after it has been released to the ASX.

### 4.2 WEBSITE

The Group website, [www.ventusgroup.com.au](http://www.ventusgroup.com.au), is the primary tool to communicate rapidly with AVN securityholders, and acts as a source of information about the Group. All ASX announcements and relevant news releases and any other information that is an official release of material information to the market will be posted on the website as soon as reasonably practicable after such information is released to the ASX.

### 4.3 EMAIL

AVN securityholders may elect to receive securityholder communications via email. Securityholders can register for this service by contacting Link Market Services. It is the responsibility of the AVN securityholder to maintain a current email account and email address and to notify Link Market Services Limited of any changes to these details.



#### **4.4 POST**

Aventus regularly communicates with AVN securityholders via post and will continue to do so where a AVN securityholder has not elected to use an electronic form of communication.

#### **4.5 CONFERENCE CALLS**

The company undertakes conference calls with investors for half and full year results. Additional conference calls may be held where a significant event has occurred that warrants a general briefing to investors. Conference call details will be provided with the accompanying ASX announcement to permit investors to ask questions of management.

### **5 TYPES OF COMMUNICATION**

#### **5.1 PRICE SENSITIVE DISCLOSURES**

These relate to any information which may have an influence on the security price of the Group and is a requirement of all ASX listed companies.

#### **5.2 CORPORATE GOVERNANCE ANNOUNCEMENTS**

Examples of Corporate Governance Announcements include director disclosure forms (3Y, 3X, 3Z), announcements relating to the issue of new securities (3B) and appendices to financial results announcements (4E and 4D). These types of communication are a requirement of the *Corporations Act 2001 (Cth)*.

#### **5.3 STATUTORY REQUIREMENTS**

This includes financial results for the half-year dated 31 December (reported in February each year). The financial results for the full year ended 30 June are reported in August each year. The half-year and full year results are announced to the ASX pursuant to the Listing Rules and as required by the Corporations Act.

#### **5.4 ANNUAL REPORT AND PROPERTY COMPENDIUM**

The Annual Report contains key financial information about AVN, as well as important operating and corporate information. AVN will also release an updated Property Portfolio Compendium annually. These are released on the ASX and available at [www.ventusgroup.com.au](http://www.ventusgroup.com.au)

#### **5.5 ANALYST, INSTITUTIONAL INVESTOR AND MEDIA BRIEFINGS**

Analyst and media briefings will generally be conducted at the time of release of half-year and full year results. Such briefings may also be conducted at other times throughout the year. Institutional investor meetings, site visits and one-on-one briefings with institutional investors or analysts may be held from time to time. At those meetings AVN will not disclose any information that a reasonable person might regard as being price sensitive unless such information has previously been released to the market through the ASX or is otherwise already in the public domain.

#### **5.8 DISTRIBUTION STATEMENTS**

AVN Securityholders will receive statements each quarter outlining their distribution entitlement.

#### **5.9 SUSTAINABILITY REPORT**

Aventus will report on the Group's environmental, economic and social performance.



## **6 HANDLING QUESTIONS / COMPLAINTS**

### **6.1 QUESTIONS**

Questions from AVN securityholders will be handled by the Fund Manager.

Responses to all questions will ensure that no price sensitive or confidential information is passed on to the securityholder, and all AVN's statutory and ASX responsibilities on information disclosure are maintained.

### **6.2 COMPLAINTS**

Complaints about AVN are handled under the Dispute Resolution Policy.

## **7 ACCESS TO THIS POLICY**

This policy will be available for viewing on the Group website.

## **8 POLICY BREACHES**

If an employee or Director of the Group breaches this Policy, he or she may face disciplinary action, including dismissal in serious cases.

## **9 QUESTIONS**

Any questions about the Policy should be referred to the Company Secretary.

## **10 REVIEW AND CHANGES**

10.1 The Company Secretary will review this Policy as often as necessary, but no less than annually. It will be reviewed to take into account ongoing feedback from AVN securityholders and the results of the annual AVN securityholder survey.

10.2 The Board may change this policy from time to time by resolution.

## **11 APPROVED AND ADOPTED**

This Policy was approved and adopted by the Board on 25 September 2018

